

# CS-523 Advanced topics on Privacy Enhancing Technologies

## **Machine Learning** **Live exercises**

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# 1) Foofle's big idea

A well-established tech company---Foofle had a great idea! Foofle wants to train a next-word-prediction (NWP) model called fboard™ on the text typed by users on their smartphones. However, Foofle is good and cares about users' privacy; therefore, Foofle decides to train fboard™ using Federated Learning.

fboard™ is a Recurrent Neural Network (RNN) with a very simple architecture:

1. A word-embedding layer. That is, a matrix  $E = \mathbb{R}^{|V| \times n}$ , where  $V$  is the vocabulary of the model (i.e., the list of possible words that user can type) and  $n$  is the size of the embedding vector. Note that the word-embedding matrix is learned during the training!
2. Some LSTM cells (we don't care about the details here).
3. A word-embedding output layer that maps the output of the LSTM back to the word-domain:  $E^{-1} = \mathbb{R}^{n \times |V|}$ .



## Questions:

1. Is fboard™ privacy-preserving?
  1. What's the problem with fboard™? (think about the architecture and the gradient).
  2. What can be learned by an adversarial user?
  3. What can be learned by an adversarial server?
2. Would secure aggregation make fboard™ privacy-preserving?
3. Would differential privacy make fboard™ privacy-preserving?
  1. Which DP technique should Foofle use (e.g., central-DP, local-DP or distributed-DP) and why?

$E$ :

hello	12	45	43	26	78	532	...
there	43	25	778	43	53	78	...
texas	34	56	23	12	56	74	...
world	342	54	23	5	7	423	...
...	...						

Gradient  $E$  for "Hello texas":

 hello	3	3	6	5	8	6	...
there	0	0	0	0	0	0	...
 texas	1	3	4	5	6	4	...
world	0	0	0	0	0	0	...
...	...						

## 2) Differentially private ML models:

Assume a non-trivial (better than a random) machine-learning classifier is trained in such a way that it satisfies differential privacy (DP) with parameter  $\epsilon$ . Which of the following statements correctly characterizes the relationship between the DP property of the classifier and the success of membership inference attacks (MIAs) against this classifier?

1.  $\epsilon$ -DP prevents any attacks against privacy of the training data, including MIAs.
2.  $\epsilon$ -DP prevents MIAs only when  $\epsilon=0$ .
3.  $\epsilon$ -DP improves the utility of the classifier because it regularizes the model and reduces the generalization gap (i.e., overfitting).

About DP-SGD, which of the following statements is correct:

- A. The noise applied on the gradient should be proportional to the sensitivity of the gradient but not to  $\epsilon$ .
- B. Gradient clipping improves privacy, but it is not necessary to make a model  $\epsilon$ -DP.

### 3) Differentially private ML models in FL

Bob and Kevin are identical twins who share everything together: Every time Bob takes a picture, Kevin takes the same picture as well. One day, Bob and Kevin decide to participate to a FL protocol and train an image classifier using the images on their smartphones. The FL protocol ensures user-level  $\epsilon$ -DP.

Question:

A) Do Bob and Kevin achieve  $\epsilon$ -DP? And why is that?

## 4) Final secret question:

A) Can I achieve User-level DP with local differential privacy in FL? If yes, how?